

Business Continuity Plan Disclosure Statement

SUMMARY

In accordance with FINRA Rule 4370(e), applicable to member firms of the Financial Industry Regulatory Authority, Inc. ("FINRA"), XP Investments US, LLC ("the Firm" or "XP") has prepared a Business Continuity Plan ("BCP") that provides a course of action for the assessment of a significant disruption to its business and for the continuation of business following such event.

The BCP is designed to address key areas of concern, including but not limited to the following:

1. data backup and recovery, including books and records;
2. mission critical systems and services;
3. financial and operational assessments;
4. alternate means of communication between the Firm and its clients;
5. alternate means of communication between the Firm and its personnel;
6. regulatory reporting;
7. communications with regulators;
8. how the Firm will ensure that all clients have access to their funds and securities in the event the Firm determines it is unable to continue its business.

The BCP was prepared to face several levels of disaster. In the event that access to any of XP's office locations is not possible, XP has implemented a remote working infrastructure that allow employees to work remotely when the region around one of the offices is severely impacted. XP reviews, updates and tests its BCP annually.

The information contained in this disclosure is provided by XP for informational purposes only and nothing contained herein shall be construed to amend, supplement or otherwise modify any of the terms and conditions set forth in any customer agreement between any party and XP.